



**PIPER MARBURY  
RUDNICK & WOLFE<sup>LLP</sup>**  
1200 NINETEENTH STREET, NW  
WASHINGTON, DC 20036-2412  
TELEPHONE: 202-861-3900  
FACSIMILE: 202-223-2085

**RECEIVED**

**MAR 26 2002**

**TC 1700**

DOCKET NO.: 5988-035-27

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

Re: Serial No.: 09/987,367  
Applicant(s): KAZUO KAWAGUCHI, ET AL.  
Filing Date: NOVEMBER 14, 2001  
For: ANTI-REFLECTION COATING FORMING COMPOSITION  
Group Art Unit: 1755  
Examiner:

SIR:

Attached hereto for filing are the following papers:

INFORMATION DISCLOSURE STATEMENT  
EUROPEAN SEARCH REPORT  
FORM PTO-1449  
CITED DOCUMENTS (5)

Our check in the amount of \$ -0- is attached covering any required fees. In the event any variance exists between the amount enclosed and the Patent Office charges for filing the above-noted documents, including any fees required under 37 C.F.R. 1.136 for any necessary extension of time to make the filing of the attached documents timely, please charge or credit the difference to Deposit Account No. 50-1442. Further, if these papers are not considered timely filed, then a request is hereby made under 37 C.F.R. 1.136 for the necessary extension of time. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

PIPER MARBURY RUDNICK & WOLFE LLP

Steven B. Kelber  
Attorney of Record  
Registration No.: 30,073

Lisa K. Norton  
Registration No.: 44,977

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION: KAZUO KAWAGUCHI ET AL.

GROUP ART UNIT: 1755

SERIAL NUMBER: 09/987,367

EXAMINER:

FILED: NOVEMBER 14, 2001

FOR: ANTI-REFLECTION COATING FORMING COMPOSITION

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 1.97

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Applicant(s) wish(es) to disclose the following information.

REFERENCES

- Applicant(s) wish(es) to make of record the documents listed on the attached European Search Report and Form PTO-1449. Copies of the listed documents are attached, where required, as are either statements of relevancy or any readily available full or partial English translations of any non-English-language documents.

RELATED CASES

- Attached is a list of Applicant's(s') pending applications and issued patents which may be related to the present application. Copies of the documents, where required, are attached along with Form PTO-1449.

CERTIFICATION

The undersigned certifies that

- each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign or international patent office in a counterpart foreign or international application for the first time (to the knowledge of the undersigned, having made reasonable inquiry) not more than three months prior to the filing of this statement.
- no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign or international patent office in a counterpart foreign or international application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 C.F.R. 1.56(c) more than three months prior to the filing of this statement.

BASIS FOR CONSIDERATION

This Information Disclosure Statement is filed:

- without fee and within three months of the filing date of the application.
- without fee and within three months of the date of entry of the U.S. national stage.
- without fee and before the mailing date of a first Office Action on the merits (to the knowledge of the undersigned).
- without fee and with the appropriate certification above.
- without fee and with a new CPA application.
- without fee and with a Request for Continued Examination.
- with fee and before the mailing date of any of a Final Office Action, Notice of Allowance or an action that otherwise closes prosecution (to the knowledge of the undersigned).
- with fee, appropriate certification above, and before payment of the Issue Fee.

DEPOSIT ACCOUNT

- Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to Deposit Account No. 50-1442.

Respectfully submitted,

PIPER MARBURY RUDNICK & WOLFE LLP

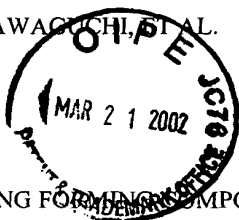


Steven B. Kelber  
Attorney of Record  
Registration No. 30,073

Lisa K. Norton  
Registration No. 44,977

1200 Nineteenth Street, N.W.  
Washington, DC 20036-2412  
Telephone No. (202) 861-3900  
Facsimile No. (202) 223-2085

#4  
04/13/02  
AS  
**RECEIVED**  
MAR 26 2002  
**TC 1700**



Form PTO 1449 U.S. DEPARTMENT OF (Modified) COMMERCE PATENT AND TRADEMARK OFFICE		DOCKET NO. 5988-035-27		SERIAL NO. 09/987,367			
LIST OF REFERENCES CITED BY APPLICANT (Use Several Sheets if Necessary)		APPLICANT KAZUO KAWAGUCHI, ET AL.					
		FILING DATE NOVEMBER 14, 2001		GROUP ART UNIT 1755			
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	5,482,817	01/09/96	Dichiara, et al.			
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO		
	AL	2000143937	05/26/00	Japan	Abstract		
	AM	2001040293	02/13/01	Japan	Abstract		
	AN	2001192539	07/17/01	Japan	Abstract		
	AO	59-93448	05/29/84	Japan	Abstract		
	AP						
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)							
	AQ						
	AR						
	AS						
	AT						
EXAMINER					DATE CONSIDERED		
*EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.							